

On the 2-large is large conjecture

Yau postdoc seminar

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July 3, 2026

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Van der Waerden's Theorem and r -large sets

Theorem (van der Waerden [15], 1927)

In any finite partition $\mathbb{N} = \bigsqcup_{i=1}^r C_i$, there exists a $1 \leq i_0 \leq r$ for which C_{i_0} contains arbitrarily long arithmetic progressions.

Definition

Given $r \in \mathbb{N}$ and $D \subseteq \mathbb{N}$, the set D is **r -large** if for any finite partition $\mathbb{N} = \bigsqcup_{i=1}^r C_i$, there exists $1 \leq i_0 \leq r$ for which C_{i_0} contains arbitrarily long arithmetic progressions with common difference in D . More concretely, for any $\ell \in \mathbb{N}$ there exists $a \in \mathbb{N}$ and $d \in D$ for which $\{a + id\}_{i=0}^{\ell} \subseteq C_{i_0}$. If D is r -large for all $r \in \mathbb{N}$, then D is **large**.

Conjecture (Brown, Graham, Landman [4], 1999)

If $D \subseteq \mathbb{N}$ is 2-large, then it is large.

Examples

The following examples of sets are known to be large.

- 1 $n\mathbb{N}$ for some $n \in \mathbb{N}$ (Exercise).
- 2 $p(\mathbb{N})$ where $p \in x\mathbb{N}[x]$.
- 3 D is an IP set, i.e., there exists $(x_n)_{n=1}^{\infty} \subseteq \mathbb{N}$ such that for any finite set $F \subseteq \mathbb{N}$ we have $\sum_{n \in F} x_n \in D$.
- 4 $p(D)$ where D is an IP set and $p \in x\mathbb{N}[x]$.

The following examples of sets are known to not be 2-large.

- 1 $D_{\alpha, \epsilon} := \{n \mid n\alpha \pmod{1} \in (\epsilon, 1 - \epsilon)\}$, with $\alpha \in \mathbb{R}$ and $\epsilon > 0$ (see [13]).
- 2 Lacunary sequences, i.e., $D = \{d_n\}_{n=1}^{\infty}$ with $\inf_{n \geq 1} \frac{d_{n+1}}{d_n} > 1$ (see [13, 5]).
- 3 D is a finite union of lacunary sequences (see [6]).

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Dynamical van der Waerden

Definition

A **topological dynamical system** is a pair (X, T) with X a compact metric space and $T : X \rightarrow X$ a continuous transformation. The system is **minimal** if for every $x \in X$ the orbit $\{T^n x\}_{n=1}^{\infty}$ is dense. Equivalently, the system is minimal if the only T -invariant closed sets are \emptyset and X .

The following topological version of van der Waerden's Theorem follows from the Furstenberg-Weiss correspondence principle [8, 9].

Theorem (Topological van der Waerden)

Let (X, T) be a minimal topological dynamical system. For any open set $\emptyset \neq U \subseteq X$ and any $\ell \in \mathbb{N}$, there exists $n \in \mathbb{N}$ for which

$$U \cap T^{-n}U \cap \dots \cap T^{-n\ell}U \neq \emptyset. \quad (1)$$

Dynamical formulation of r -largeness

Lemma

The set $D \subseteq \mathbb{N}$ is r -large if and only if for any topological dynamical system (X, T) , any open cover $\{U_i\}_{i=1}^r$ of X , and any $\ell \in \mathbb{N}$ there exists $d \in D$ and $1 \leq i_0 \leq r$ for which

$$U_{i_0} \cap T^{-d}U_{i_0} \cap \cdots \cap T^{-\ell d}U_{i_0} \neq \emptyset. \quad (2)$$

Yet another characterization of minimal topological dynamical systems is that for any $\neq U \subseteq X$, there exists n for which $X \subseteq \bigcup_{i=1}^n T^{-i}U$. Let us (informally) call an open set $U \subseteq X$ r -syndetic if there exists $\{n_i\}_{i=1}^r$ for which $X \subseteq \bigcup_{i=1}^r T^{-n_i}U$. We now obtain another characterization of r -large sets as those sets for which Equation (2) holds for any r -syndetic open set of any minimal system, for some $d \in D$.

2-large sets are Bohr recurrent 1/2

In 2016 I conjectured [5] that 2-large sets are Bohr recurrent, and recently Ryan Alweiss proved my conjecture.

Theorem (Alweiss [1], 2025+)

For any $\vec{\alpha} = (\alpha_1, \dots, \alpha_k) \in \mathbb{R}^k$ and $\epsilon > 0$, the set $D_{\vec{\alpha}, \epsilon} := \{n \mid n\alpha_i \pmod{1} \in (\epsilon, 1 - \epsilon) \text{ for all } i\}$ is not 2-large.

Proof sketch: Given $m \in \mathbb{N}$, there exists $N = N(m) \in \mathbb{N}$ such that for any $\vec{\beta} \in \mathbb{R}^k$, the orbit $\{n\vec{\beta} \pmod{1}\}_{n=1}^N$ in \mathbb{T}^k is within m^{-2} of a sequence that is m^{-1} -equidistributed on a subtorus of \mathbb{T}^k and some of its rational translates. The set of possible translates of the trivial subtorus is bounded in size by $M = n^{O(1)}$, so we consider rotation by $\vec{\beta} = \frac{1}{M!}\vec{\alpha}$. We take $m > M!\epsilon^{-1}$, and it follows that the orbit $\{n\vec{\alpha}\}_{n=1}^N$ must be close to being equidistributed on a finite union of nontrivial subtori.

2-large sets are Bohr recurrent 2/2

Proof sketch continued: Since the subtori are nontrivial, each of them intersects at least a linear number of squares in the grid on $\mathbb{T}^k = [0, 1)^k$ consisting of squares of sidelength m^{-1} . It follows that a randomly coloring almost surely yields the desired result. An equidistributed orbit on a subtorus will visit linearly many squares, so there is an exponentially small probability that all of the squares are the same color. There are only polynomially many in m possible subtori for a given $N = N(m)$.

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Nilmanifolds and nilsystems

A **nilmanifold** is a homogeneous space G/Γ where G is a finite dimensional real nilpotent Lie group and $\Gamma \leq G$ is a discrete cocompact subgroup (lattice). For convenience, we assume that G is connected and simply connected. G acts on G/Γ on the left by translation, and for any fixed $g \in G$ the system $(G/\Gamma, T_g)$ given by $T_g h\Gamma = gh\Gamma$ is a **nilsystem** and T_g is a **nilrotation**.

Nilrotations can be seen as generalizations of toral rotations, as they are also dynamical systems strongly controlled by algebra. Recently, nilsystems have played a crucial role in the understanding of multiple recurrence in ergodic Ramsey theory [12, 7, 3, 10, 11].

The Heisenberg Nilmanifold 1/2

The simplest nontrivial example of a nilmanifold is the Heisenberg nilmanifold. In this case, G is the space of real upper triangular 3 by 3 matrices with 1s on the diagonal, and Γ is the subgroup of such matrices with integer entries above the diagonal. We see that every element of G/Γ has a representative in which all entries above the diagonal are in $[0, 1)$, and this gives us a fundamental domain for G/Γ in G .

The Heisenberg Nilmanifold 2/2

Using this fundamental domain (i.e., $x, y, z \in [0, 1)$), we obtain the following formula for niltranslations:

$$\begin{aligned} & \begin{pmatrix} 1 & a & b \\ 0 & 1 & c \\ 0 & 0 & 1 \end{pmatrix} \begin{pmatrix} 1 & x & y \\ 0 & 1 & z \\ 0 & 0 & 1 \end{pmatrix} \Gamma = \begin{pmatrix} 1 & a+x & y+az+b \\ 0 & 1 & c+z \\ 0 & 0 & 1 \end{pmatrix} \Gamma \\ & = \begin{pmatrix} 1 & a+x & (\text{mod } 1) & y+az+b - (a+x)[c+z] & (\text{mod } 1) \\ 0 & & 1 & c+z & (\text{mod } 1) \\ 0 & & 0 & & 1 \end{pmatrix} \Gamma \end{aligned}$$

Theorem

For a nilrotation (X, T) , the following are equivalent:

- 1 *There exists $x \in X$ for which $\{T^n x\}_{n=1}^{\infty}$ is dense.*
- 2 *(X, T) is minimal.*
- 3 *(X, T) is uniquely ergodic, i.e., there exists a unique T -invariant Borel probability measure supported on X .*

Properties of nilrotations 2/2

Theorem ([13, 14])

If (X, T) is a s -step minimal nilsystem and $R \subseteq \mathbb{N}$, then the following are equivalent:

- 1 For any $x \in X$ and any $\epsilon > 0$, there exists $r \in R$ with $d(T^r x, x) < \epsilon$.
 - R is a set of pointwise recurrence
- 2 For any open $\emptyset \neq U \subseteq X$ there exists $r \in R$ with $U \cap T^{-r}U \cap \dots \cap T^{-sr}U \neq \emptyset$.
 - R is a set of s -recurrence
- 3 For any open $\emptyset \neq U \subseteq X$ and any $\ell \in \mathbb{N}$ there exists $r \in R$ with $U \cap T^{-r}U \cap \dots \cap T^{-\ell r}U \neq \emptyset$.
 - R is a set of multiple recurrence

A Hierarchy of minimal systems

In 2016 [14] it was asked whether or not sets of multiple recurrence for nilrotations are sets of multiple recurrence for all minimal systems. It was only recently in 2025+ that Ryan Alweiss [2] constructed a set of multiple recurrence for nilrotations that is not a set of 2-recurrence for distal systems. The following is a hierarchy of classes of minimal topological dynamical systems of interest. It starts from the most structured systems and becomes progressively more complex.

- 1 The one point system
- 2 Toral rotations
- 3 nilrotations
- 4 distal systems
- 5 point distal systems
- 6 zero entropy systems
- 7 all systems

2-large sets are (probably) NilBohr recurrent

Theorem (Farhangi, Alweiss, 2026+)

If $D \subseteq \mathbb{N}$ is 2-large, then it is a set of multiple recurrence for nilrotations.

Difficulties that we need to overcome that are not present when dealing with the torus:

- 1 When \mathbb{R} acts on $\mathbb{T} = \mathbb{R}/\mathbb{Z}$, it is the same as \mathbb{T} acting on \mathbb{T} , so it is a compact action. When G acts on G/Γ , it is not a compact action.
- 2 \mathbb{T} acts on \mathbb{T} by isometries, while the action of G on G/Γ is highly non-isometric.

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