

Connections between van der Corput's Difference Theorem and the Hierarchy of Mixing Properties Part 2.

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Standing Assumption

For the rest of this presentation, we will assume that any sequence $(x_n)_{n=1}^\infty$ in a Hilbert space \mathcal{H} satisfies

$$\overline{\lim}_{N \rightarrow \infty} \frac{1}{N} \sum_{n=1}^N \|x_n\|^2 < \infty. \quad (1)$$

Nearly Weakly Mixing sequences

Definition 1: $(f_n)_{n=1}^\infty$ is a **nearly weakly mixing sequence** if for any permissible triple of the form $((f_n)_{n=1}^\infty, (g_n)_{n=1}^\infty, (N_q)_{q=1}^\infty)$, we have

$$\lim_{H \rightarrow \infty} \frac{1}{H} \sum_{h=1}^H \left| \lim_{q \rightarrow \infty} \frac{1}{N_q} \sum_{n=1}^{N_q} \langle f_{n+h}, g_n \rangle \right| = 0. \quad (2)$$

Example: If (X, \mathcal{B}, μ, T) is a weakly mixing m.p.s., then for any $f \in L^2(X, \mu)$, $(U_T^n f)_{n=1}^\infty$ is a nearly weakly mixing sequence.

Weakly Mixing van der Corput

MvdC3: Let \mathcal{H} be a Hilbert space and let $(f_n)_{n=1}^\infty \subseteq \mathcal{H}$ be a bounded sequence. If

$$\overline{\lim}_{H \rightarrow \infty} \sum_{h=1}^H \frac{1}{H} \left| \overline{\lim}_{N \rightarrow \infty} \frac{1}{N} \sum_{n=1}^N \langle f_{n+h}, f_n \rangle \right| = 0, \quad (3)$$

then $(f_n)_{n=1}^\infty$ is a nearly weakly mixing sequence.

Nearly Strongly Mixing Sequences

Definition 2: $(f_n)_{n=1}^\infty$ is a **nearly strongly mixing sequence** if for any permissible triple of the form $((f_n)_{n=1}^\infty, (g_n)_{n=1}^\infty, (N_q)_{q=1}^\infty)$, we have

$$\lim_{h \rightarrow \infty} \lim_{q \rightarrow \infty} \frac{1}{N_q} \sum_{n=1}^{N_q} \langle f_{n+h}, g_n \rangle = 0. \quad (4)$$

Example: If (X, \mathcal{B}, μ, T) is a strongly mixing m.p.s., then for any $f \in L^2(X, \mu)$, $(U_T^n f)_{n=1}^\infty$ is a nearly strongly mixing sequence.

Strongly Mixing van der Corput

MvdC2: Let \mathcal{H} be a Hilbert space and let $(f_n)_{n=1}^\infty \subseteq \mathcal{H}$ be a bounded sequence. If

$$\overline{\lim}_{H \rightarrow \infty} \left| \overline{\lim}_{N \rightarrow \infty} \frac{1}{N} \sum_{n=1}^N \langle f_{n+h}, f_n \rangle \right| = 0, \quad (5)$$

then $(f_n)_{n=1}^\infty$ is an nearly strongly mixing sequence.

Nearly Orthogonal Sequences

Definition 2: $(f_n)_{n=1}^\infty$ is a **nearly orthogonal sequence** if for any permissible triple of the form $((f_n)_{n=1}^\infty, (g_n)_{n=1}^\infty, (N_q)_{q=1}^\infty)$, we have

$$\sum_{h=0}^{\infty} \left| \lim_{q \rightarrow \infty} \frac{1}{N_q} \sum_{n=1}^{N_q} \langle f_{n+h}, g_n \rangle \right|^2 \leq \lim_{q \rightarrow \infty} \frac{1}{N_q} \sum_{n=1}^{N_q} \|g_n\|^2. \quad (6)$$

'Orthogonally Mixing' van der Corput

MvdC1: Let \mathcal{H} be a Hilbert space and let $(f_n)_{n=1}^\infty \subseteq \mathcal{H}$ be a bounded sequence. If

$$\overline{\lim}_{N \rightarrow \infty} \frac{1}{N} \sum_{n=1}^N \langle f_{n+h}, f_n \rangle = 0, \quad (7)$$

for every $h \in \mathbb{N}$, then $(f_n)_{n=1}^\infty$ is an nearly orthogonal sequence.

A Sad Fact of Life

Sad Fact: For any sequence of complex numbers $(x_n)_{n=1}^{\infty}$ satisfying

$$\overline{\lim}_{N \rightarrow \infty} \frac{1}{N} \sum_{n=1}^N |x_n| < \infty, \quad (8)$$

there exists a sequence $(y_n)_{n=1}^{\infty} \subseteq \mathbb{S}^1$ for which

$$\overline{\lim}_{N \rightarrow \infty} \frac{1}{N} \sum_{n=1}^N x_{n+h} \overline{y_n} = \overline{\lim}_{N \rightarrow \infty} \frac{1}{N} \sum_{n=1}^N |x_n|, \quad (9)$$

for every $h \geq 0$.

Characterization of Nearly Weakly Mixing

Theorem 1: $(f_n)_{n=1}^\infty$ is a nearly weakly mixing sequence if and only if for any permissible triple of the form $((f_n)_{n=1}^\infty, (f_n)_{n=1}^\infty, (N_q)_{q=1}^\infty)$ we have

$$\lim_{H \rightarrow \infty} \sum_{h=1}^H \frac{1}{H} \left| \lim_{q \rightarrow \infty} \frac{1}{N_q} \sum_{n=1}^{N_q} \langle f_{n+h}, f_n \rangle \right| = 0. \quad (10)$$

Characterization of Nearly Strongly Mixing

Theorem 2: $(f_n)_{n=1}^{\infty}$ is a nearly strongly mixing sequence if and only if for any permissible triple of the form $((f_n)_{n=1}^{\infty}, (f_n)_{n=1}^{\infty}, (N_q)_{q=1}^{\infty})$ we have

$$\lim_{h \rightarrow \infty} \left| \lim_{q \rightarrow \infty} \frac{1}{N_q} \sum_{n=1}^{N_q} \langle f_{n+h}, f_n \rangle \right| = 0. \quad (11)$$

Characterization of Nearly Orthogonal

Theorem 3: $(f_n)_{n=1}^\infty$ is a nearly orthogonal sequence if and only if for any permissible triple of the form $((f_n)_{n=1}^\infty, (f_n)_{n=1}^\infty, (N_q)_{q=1}^\infty)$ we have

$$\lim_{q \rightarrow \infty} \frac{1}{N_q} \sum_{n=1}^{N_q} \langle f_{n+h}, f_n \rangle = 0, \quad (12)$$

for every $h \in \mathbb{N}$.

A Brief Review of Mixing

Mixing Notion	Ergodicity	Weak Mixing	Mild Mixing	Strong Mixing
Complementary Notion	Invariance	Compactness	Rigidity	???

Mixing Notion	K-Mixing	Bernoulli
Complementary Notion	Zero Entropy	???

Compact Sequences

Definition: $(c_n)_{n=1}^\infty \subseteq \mathcal{H}$ is a **compact sequence** if for any $\epsilon > 0$, there exists $K \in \mathbb{N}$ for which

$$\sup_{m \in \mathbb{N}} \min_{1 \leq k \leq K} \overline{\lim}_{N \rightarrow \infty} \frac{1}{N} \sum_{n=1}^N \|c_{n+m} - c_{n+k}\|^2 < \epsilon. \quad (13)$$

Example: If (X, \mathcal{B}, μ, T) is a discrete spectrum m.p.s., then for any $f \in L^2(X, \mu)$, $(U_T^n f)_{n=1}^\infty$ is a compact sequence.

Rigid Sequences

Definition: $(r_n)_{n=1}^\infty \subseteq \mathcal{H}$ is a **rigid sequence** if there exists $(k_m)_{m=1}^\infty \subseteq \mathbb{N}$ for which

$$\lim_{m \rightarrow \infty} \overline{\lim}_{N \rightarrow \infty} \frac{1}{N} \sum_{n=1}^N \|r_{n+k_m} - r_n\|^2 < \epsilon. \quad (14)$$

Example: If (X, \mathcal{B}, μ, T) is a m.p.s., and $f \in L^2(X, \mu)$ is rigid with respect to U_T , then $(U_T^n f)_{n=1}^\infty$ is a rigid sequence.

Zero Entropy Sequences

Definition: $(z_n)_{n=1}^\infty \subseteq \mathcal{H}$ is a **zero entropy sequence** if there exists a dynamical system (X, T) with zero topological entropy, a $x \in X$, and a $f : X \rightarrow \mathcal{H}$ that is continuous with respect to the weak topology of \mathcal{H} for which $z_n = f(T^n x)$.

The Orthogonality between Compactness and Weak Mixing

Theorem 4: If $(w_n)_{n=1}^\infty \subseteq \mathcal{H}$ is nearly weakly mixing and $(c_n)_{n=1}^\infty \subseteq \mathcal{H}$ is a compact sequence, then

$$\lim_{N \rightarrow \infty} \frac{1}{N} \sum_{n=1}^N \langle w_n, c_n \rangle = 0. \quad (15)$$

Conjecture 1: If $(w_n)_{n=1}^\infty \subseteq \mathcal{H}$ is nearly weakly mixing and $(c'_n)_{n=1}^\infty$ is a compact sequence of complex numbers then

$$\lim_{N \rightarrow \infty} \left\| \frac{1}{N} \sum_{n=1}^N c'_n w_n \right\| = 0. \quad (16)$$

The Orthogonality between Rigidity and Mild Mixing

Theorem 5: If $(s_n)_{n=1}^\infty \subseteq \mathcal{H}$ is nearly strongly (mildly) mixing and $(r_n)_{n=1}^\infty \subseteq \mathcal{H}$ is a rigid sequence, then

$$\lim_{N \rightarrow \infty} \frac{1}{N} \sum_{n=1}^N \langle s_n, r_n \rangle = 0. \quad (17)$$

Conjecture 2: If $(s_n)_{n=1}^\infty \subseteq \mathcal{H}$ is nearly strongly (mildly) mixing and $(r'_n)_{n=1}^\infty$ is a rigid sequence of complex numbers, then

$$\lim_{N \rightarrow \infty} \left\| \frac{1}{N} \sum_{n=1}^N r'_n s_n \right\| = 0. \quad (18)$$

At Long Last.... An Application!!!!

Let (X, \mathcal{B}, μ) be a probability space.

Exercise 1: Show that if $T : X \rightarrow X$ is a totally ergodic m.p.t., and $p : \mathbb{Z} \rightarrow \mathbb{Z}$ is a polynomial of degree at least 2 then $(\mathbb{1}_{T^n A} - \mu(T^n A))_{n=1}^\infty$ is a nearly orthogonal sequence in $L^2(X, \mu)$.

Exercise 2: Show that if $T : X \rightarrow X$ is a totally ergodic m.p.t. and $S : X \rightarrow X$ is a discrete spectrum m.p.t., then for any polynomial $p : \mathbb{Z} \rightarrow \mathbb{Z}$ of degree at least 2 and any $A, B \in \mathcal{B}$ we have

$$\lim_{N \rightarrow \infty} \frac{1}{N} \sum_{n=1}^N \mu(T^{p(n)}(A) \cap S^n(B)) = \mu(A)\mu(B). \quad (19)$$

Remark: Can discrete spectrum be relaxed to rigidity?

The Orthogonality between Zero Entropy and K-Mixing

Conjecture 3: If $(o_n)_{n=1}^\infty \subseteq \mathcal{H}$ is nearly orthogonal (K-mixing) and $(z_n)_{n=1}^\infty \subseteq \mathcal{H}$ is a 'zero entropy' sequence, then

$$\lim_{N \rightarrow \infty} \frac{1}{N} \sum_{n=1}^N \langle o_n, z_n \rangle = 0. \quad (20)$$

Conjecture 4: If $(o_n)_{n=1}^\infty \subseteq \mathcal{H}$ is nearly orthogonal (K-mixing) and $(z'_n)_{n=1}^\infty$ is a zero entropy sequence of complex numbers, then

$$\lim_{N \rightarrow \infty} \left\| \frac{1}{N} \sum_{n=1}^N z'_n o_n \right\| = 0. \quad (21)$$

Mildly Mixing van der Corput

MvdC6: Let \mathcal{H} be a Hilbert space and let $(f_n)_{n=1}^\infty \subseteq \mathcal{H}$ be a bounded sequence. If

$$\text{IP}^* - \lim_{h \rightarrow \infty} \left| \overline{\lim}_{N \rightarrow \infty} \frac{1}{N} \sum_{n=1}^N \langle f_{n+h}, f_n \rangle \right| = 0, \quad (22)$$

then $(f_n)_{n=1}^\infty$ is a nearly mildly mixing sequence. In particular, if $((f_n)_{n=1}^\infty, (g_n)_{n=1}^\infty, (N_q)_{q=1}^\infty)$ is a permissible triple, then

$$\text{IP}^* - \lim_{h \rightarrow \infty} \lim_{q \rightarrow \infty} \left| \frac{1}{N_q} \sum_{n=1}^{N_q} \langle f_{n+h}, g_n \rangle \right| = 0. \quad (23)$$

K-Mixing van der Corput

Exercise 3: Formulate and prove a van der Corput difference Theorem corresponding to K-mixing.

Clap here

